Brief and Memorandum regarding Judicial Immunity

(or more appropriately, the lack thereof)


“ No man in this country is so high that he is above the law.” No officer of the law may set that law at defiance with impunity. All the officers of the Government, from the highest to the lowest, are creatures of the law, and are bound to obey it. It is only supreme power in our system of government, and every man, who, by accepting office, participates in its functions, is only the more strongly bound to submit to that supremacy, and to observe the limitations which it imposes upon the exercise of the authority which it gives. See INTERNATIONAL POSTAL SUPPLY COMPANY v. BRUCE (05/31/04) 194 U.S. 601, 48 L.Ed. 1134, 24 S. Ct. at page 609. But immunity from suit is a high attribute of sovereignty – a prerogative of the State itself – which cannot be availed of by public agents when sued for their own torts. The Eleventh Amendment was not intended to afford them freedom from liability in any case where, under color of their office, they have injured one of the State’s citizens. To grant them such immunity would be to create
a privileged class free from liability from wrongs inflicted or injuries threatened. Public
agents must be liable to the law, unless they are to be put above the law. See OLD
COLONY TRUST COMPANY v. CITY SEATTLE ET AL. (06/01/26) 271 U.S. 426, 46
S.Ct. 552, 70 L. Ed at page 431. No officer of the law may set that law at defiance with
impunity. See United States v. Lee, 106 U.S. 196, 220 and Burton v. united States,
202U.S. 344.

“The objection is that, as the real party cannot be brought before the court, a suit cannot
be sustained against the agents of that party; and cases have been cited to show that a
court of chancery will not make a decree unless all those who are substantially interested
be made parties to the suit. This is certainly true where it is in the power of the plaintiff to
make them parties; but if the person who is the real principle, the person who is the true
source of the mischief, by whose power and for whose advantage it is done, be himself
above the law, be exempt from all judicial process, it would be subversive of the best
established principles to say that the laws could not afford the same remedies against the
agent employed in doing the wrong which they would afford against him could his
principle be joined in the suit.” See IN RE AYERS.; IN RE SCOTT.; IN RE McCABE. 123
U.S. 443, 31 L.Ed. 216, 8 S.Ct at page 512.

The liability of state judicial officials and all official participants in state judicial
proceedings under § 2 was explicitly and repeatedly affirmed. The notion of immunity for
such officials was thoroughly discredited. The Senate sponsor of the Act deemed the idea
“akin to the maxim of the English law that the King can do no wrong. It places officials
above the law. It places officials above the law. It is the very doctrine out of which the
rebellion [the Civil War] was hatched.” Cong. Globe, 39th Cong., 1st Sess., 1758 (18660 (Sen. Trumble). Thuse, § 2 was “aimed directly at the State judiciary.” Id., at 1155 (Rep. Eldridge). See also id. At 1778 (Sen. Johnson, member of the Senate Judiciary Committee).There was “no difference in the principle involved” between a civil remedy and a criminal sanction. Ibid. See BRISCOE ET AL. V. LAHUE ET AL. (03/07/83) 460 U.S. 3325, 103 S. Ct. 1108, 75 L.Ed. 2d 96, 51 U.S.L.W. at page 359.

The Court in Yates v. Village of Hoffman Estates, Illinois, 209 F. Supp. 757 (N.D. Ill. 1962) held that “not every action by a judge is in the exercise of his judicial function. … it is not a judicial function for a judge to commit an intentional tort even though the tort occurs in the courthouse. When a judge acts as a trespasser of the law, when a judge does not follow the law, the judge loses subject-matter jurisdiction and the judges’ orders are void, of no legal force or effect.”

The United States Supreme Court, in Scheuer v. Rhodes, 416 U.S. 232, 94 S. Ct. 1683, 1687 (1974) stated that when a state officer acts under a state law in a manner volative of the Federal constitution, he comes into conflict with the superior authority of the Constitution, and he is in that case stripped of his official or representative character and is subjected in his person to the consequences of his individual conduct. A judge’s private, prior agreement to decide in favor of one party is not a judicial act. Although a party conniving with a judge to predetermine the outcome of a judicial proceeding may deal with him in his “judicial capacity,” the other party’s expectation of judicial impartiality is actively frustrated by the scheme. It is the antithesis of the “principled and fearless decision-making” that judicial immunity exists to protect. Rankin v. Howard, 633